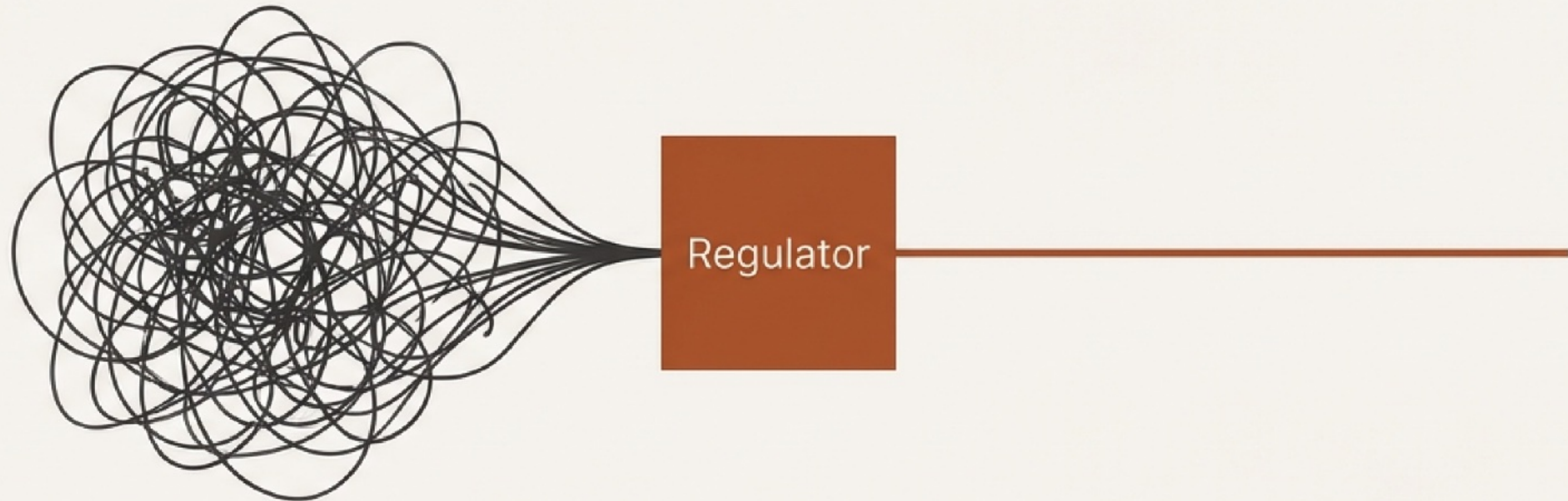




# The Algorithmic Regulator

**Can we detect an algorithmic agent?**



How Simplicity Reveals a Model at Work

Giulio Ruffini



# Kolmogorov complexity ( $\mathcal{K}$ )

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Agents need in the soup need to *model* the “world” (Regulator theorem).

But what is a model of a dataset? A short description of the dataset.

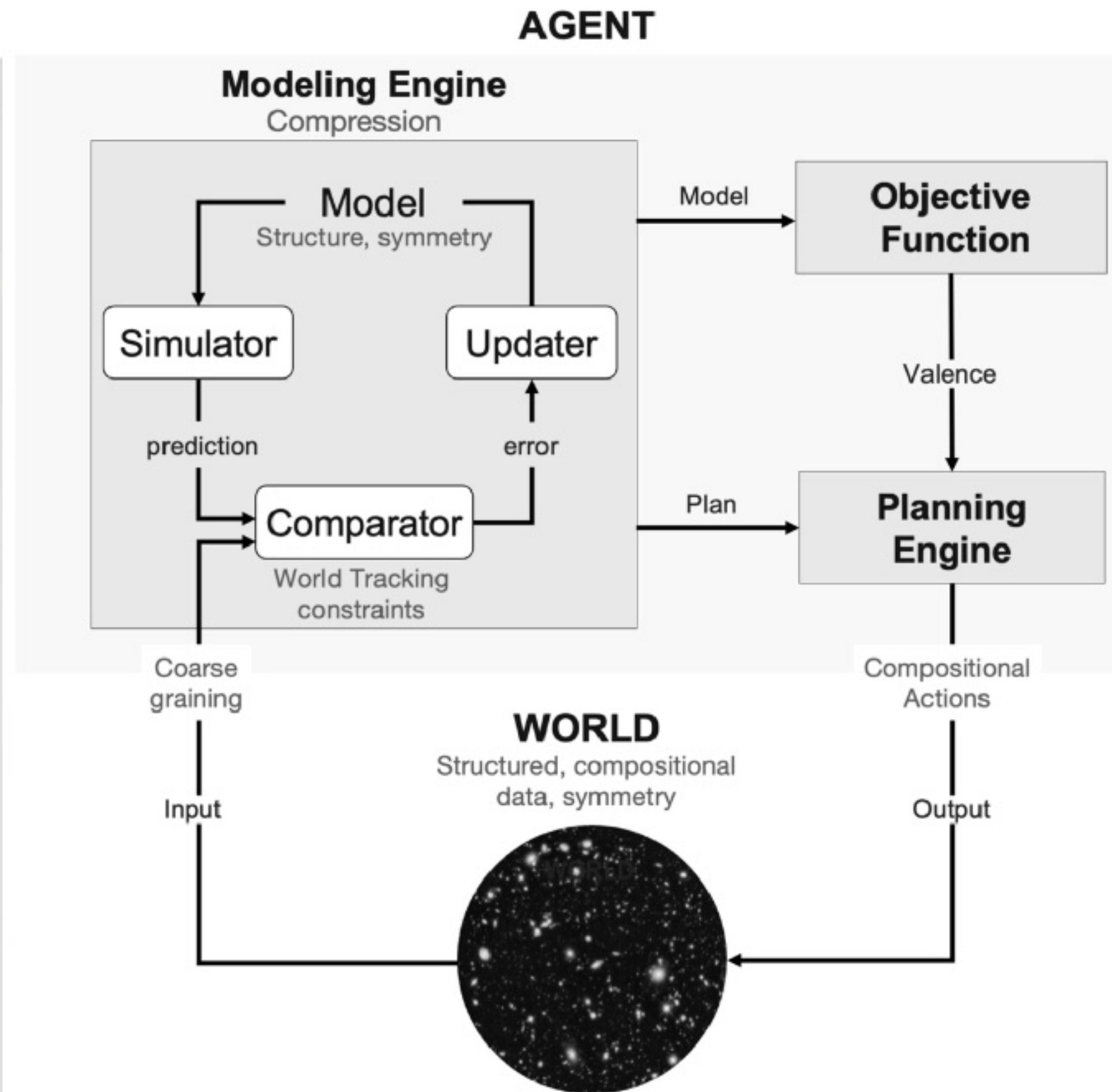
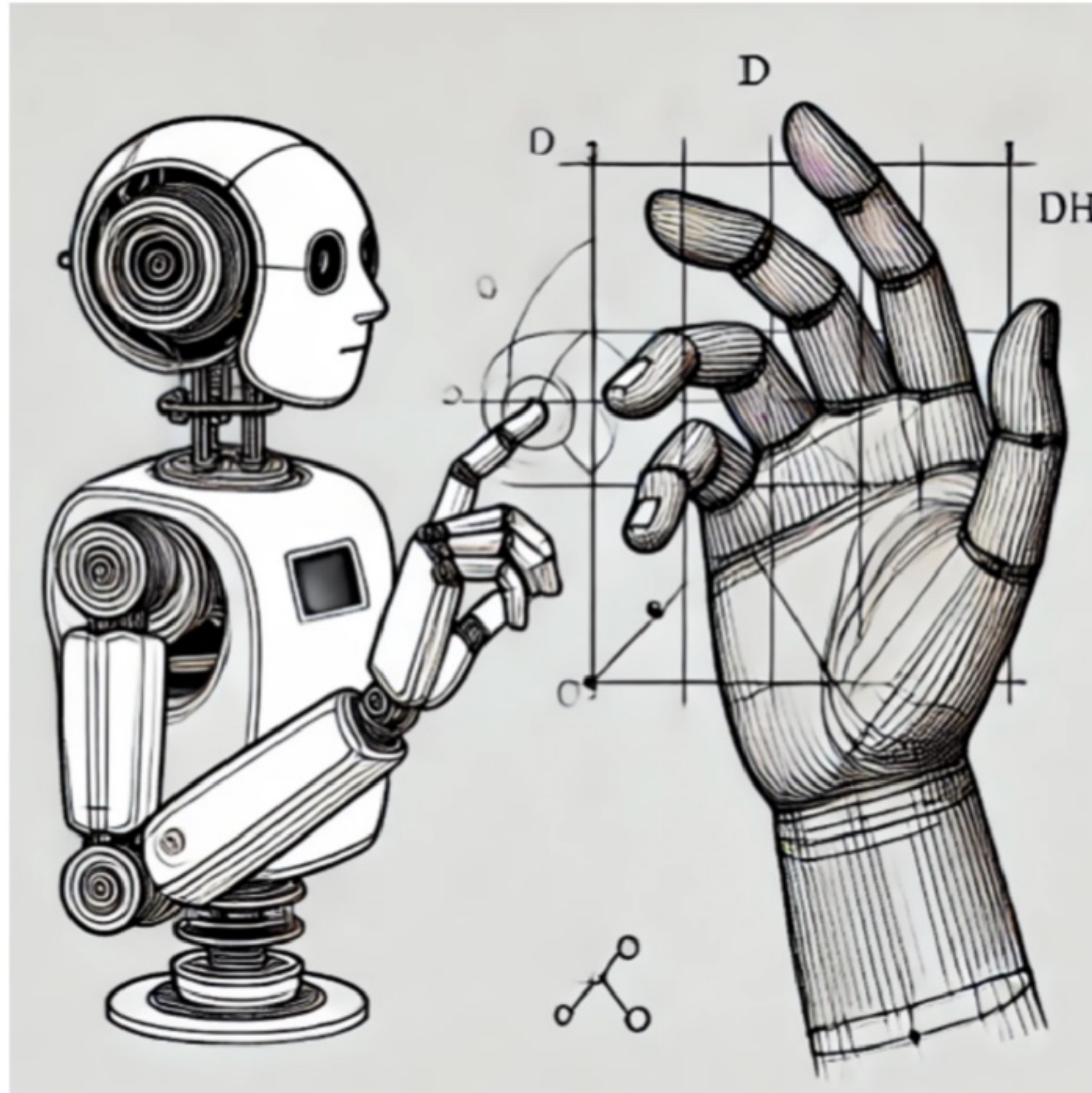
Definition (**Model** of a dataset)

A (succinct) program that generates (or **compresses**) the dataset.

The computational perspective leads us directly into the heart of AIT: the **Kolmogorov complexity** of a dataset ( $\mathcal{K}$ ) is the length of the shortest program capable of generating the dataset<sup>10</sup>.



# The algorithmic agent (minimal model?)



Open Access Perspective

The Algorithmic Agent Perspective and Computational Neuropsychiatry: From Etiology to Advanced Therapy in Major Depressive Disorder

by Giulio Ruffini <sup>1,\*</sup>, Francesca Castaldo <sup>1,\*</sup>, Edmundo Lopez-Sola <sup>1,2</sup>, Roser Sanchez-Todo <sup>1,2</sup> and Jakub Vohryzek <sup>2,3</sup>

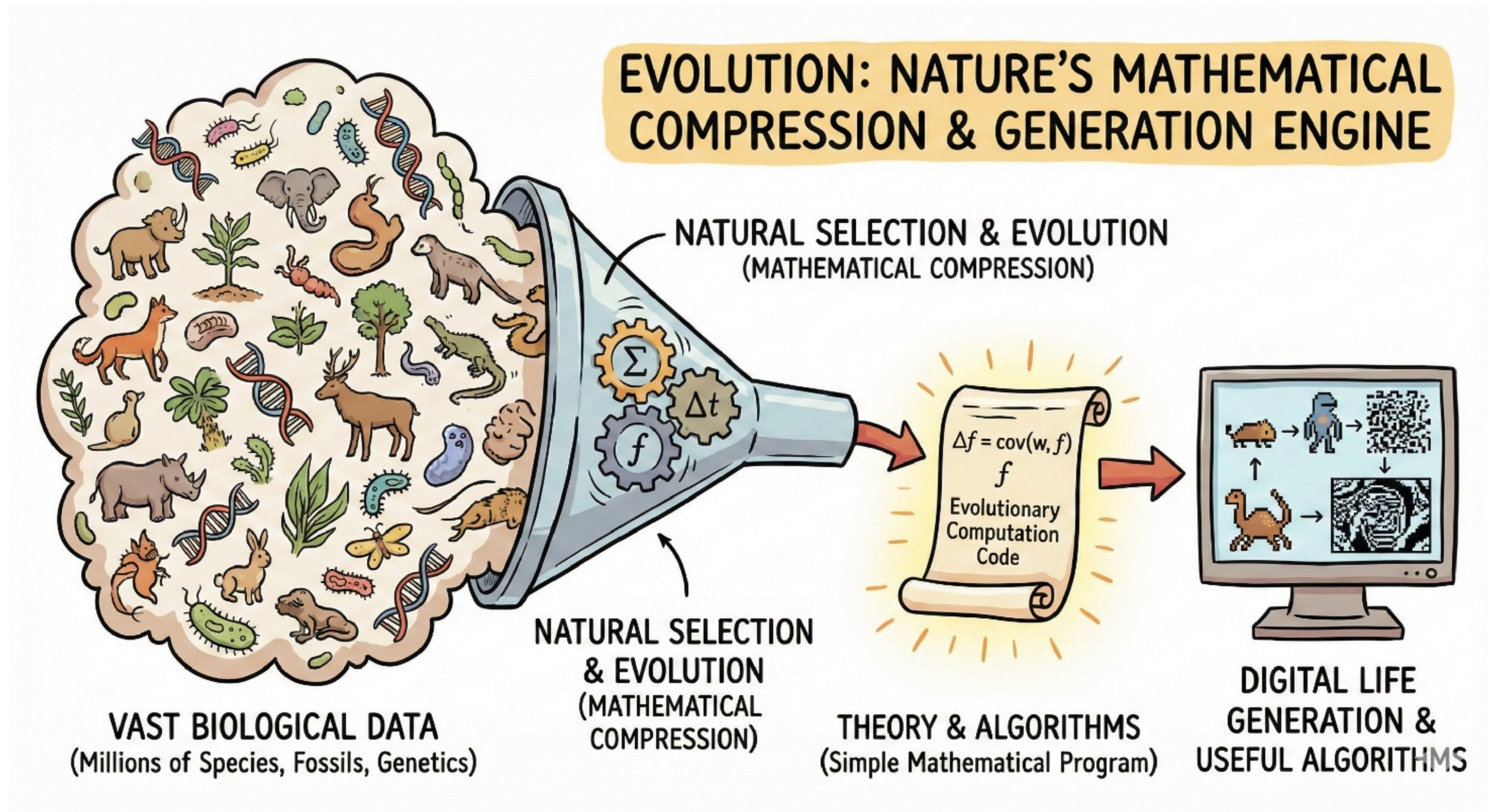


# Science as Compression — Physics





# Natural Selection as Mathematics





# Mutual algorithmic information ( $\mathcal{M}$ )

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With  $\mathcal{K}$  at hand, we can define an algorithmic version of mutual information:

Definition (Mutual algorithmic information complexity  $\mathcal{M}$ )

The *mutual algorithmic information*  $\mathcal{M}(x : y)$  between two strings  $x$  and  $y$ , is given by

$$\mathcal{M}(x : y) = \mathcal{K}(x) + \mathcal{K}(y) - \mathcal{K}(x, y)$$

11;12.



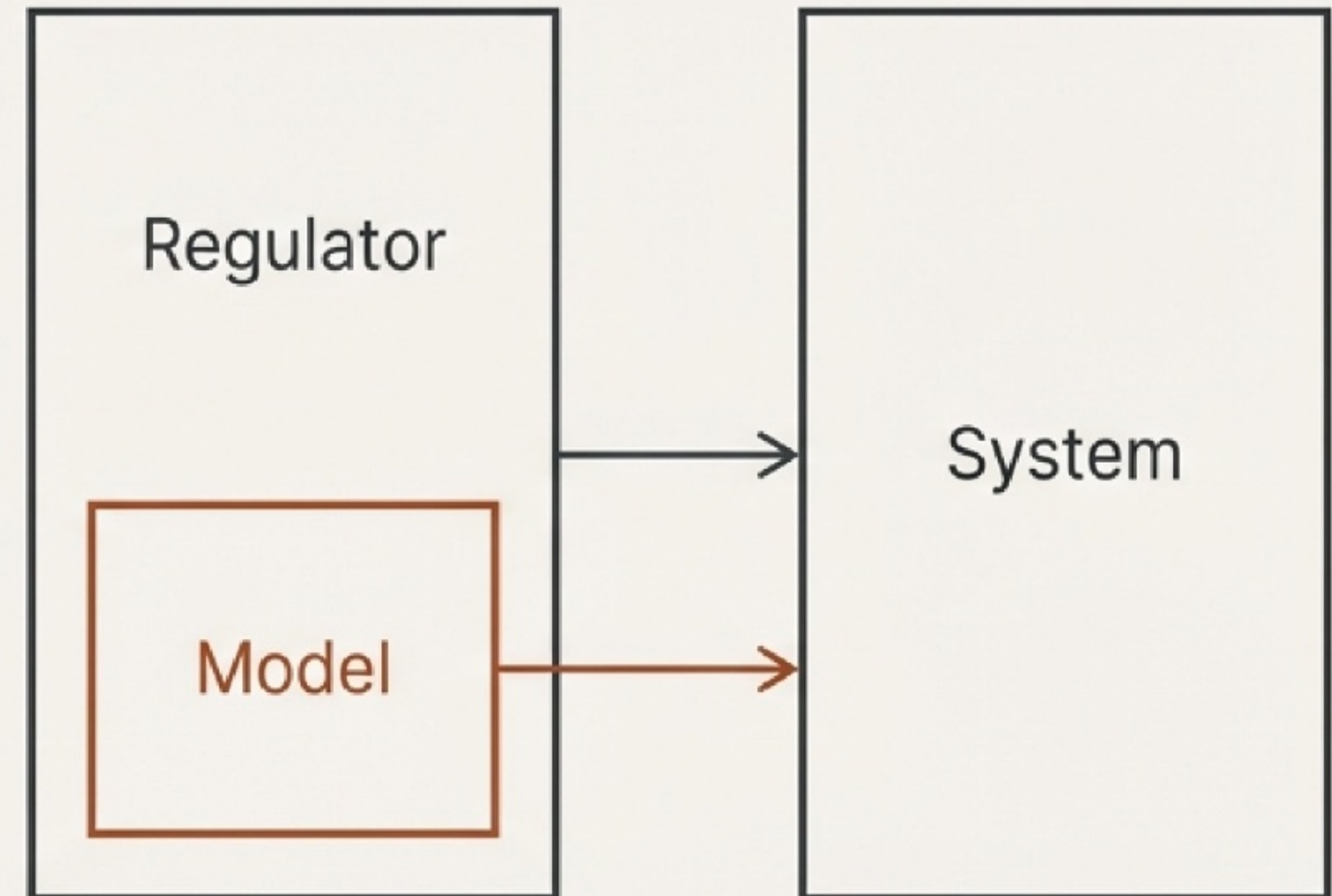


# The Foundational Intuition

“Every good regulator of a system must be a model of that system.”

—Conant & Ashby, 1970

- **Influential:** Underpins core ideas in neuroscience and control theory.
- **Intuitive:** Aligns with our common-sense understanding of control.
- **Informal:** The original theorem has been criticized for vague definitions of “model” and “goodness,” and for a proof that doesn’t fully deliver the headline claim.



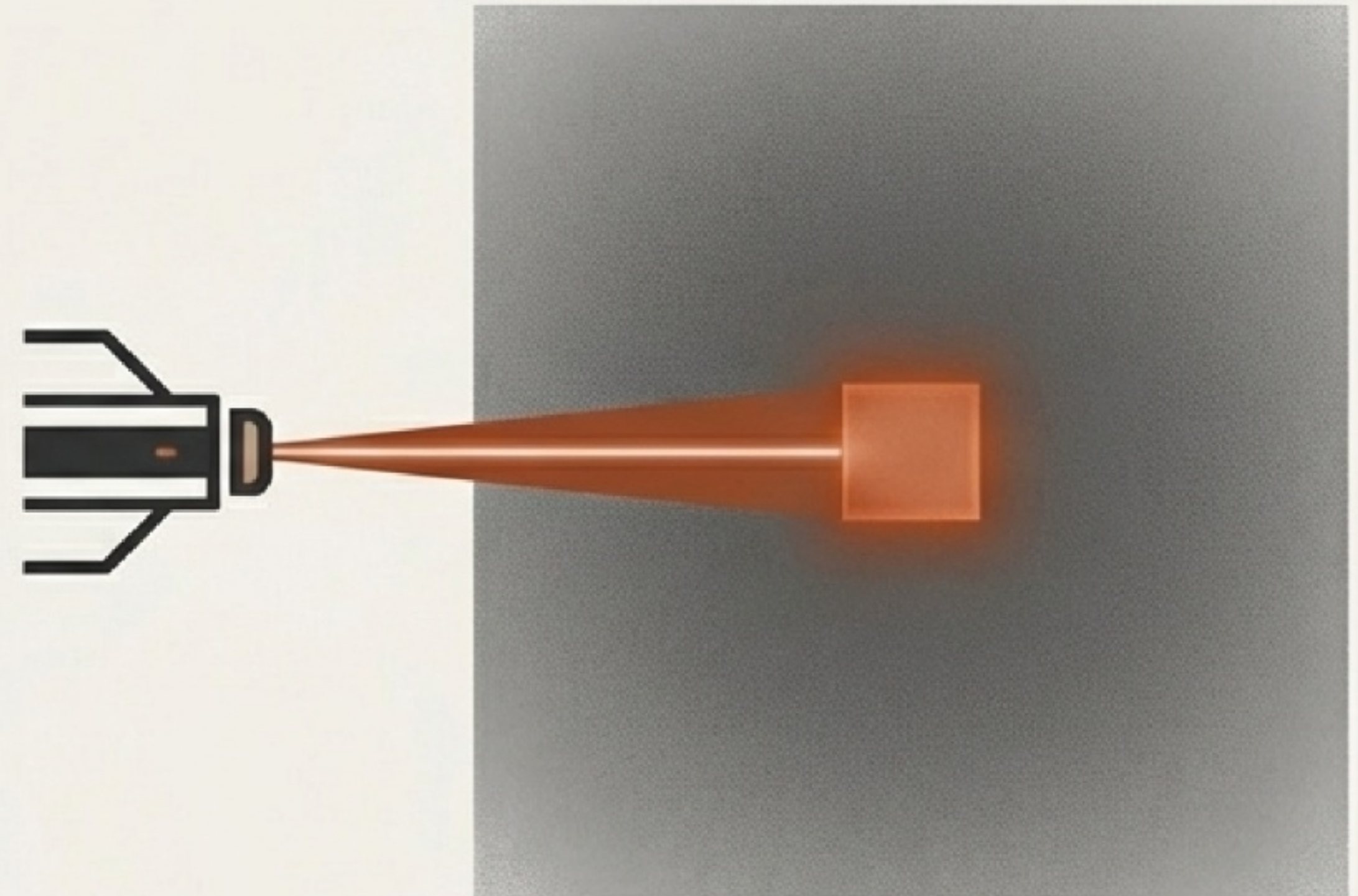




# The Rigorous, But Restricted, Successor

The Internal Model Principle (IMP) provides the mathematical rigor the Good Regulator Theorem lacked.

- **Precise & Falsifiable:** For a given signal class, it states that a controller must embed a dynamical copy of the signal generator for perfect regulation.
- **Powerful:** A standard backbone for modern robust control.
- **Limited:** The classical IMP is a linear result. It applies to Linear, Time-Invariant (LTI) systems. While non-linear generalizations exist, they require strong structural hypotheses and are not universally applicable.





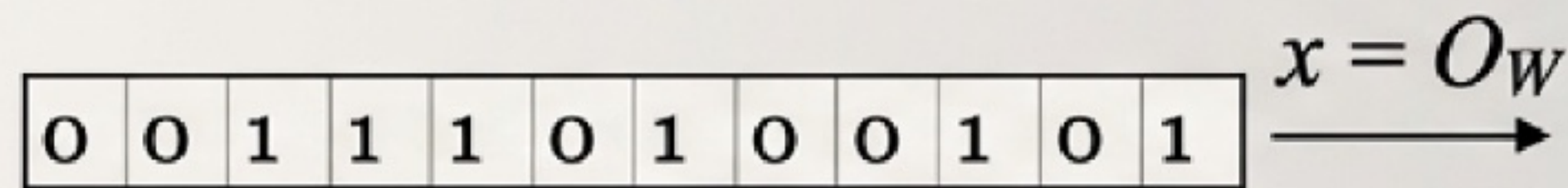
# A New Perspective: Regulation as Compression



We can reframe the problem using Algorithmic Information Theory (AIT). Instead of thinking about “goodness,” we think about **simplicity** and **compressibility**.

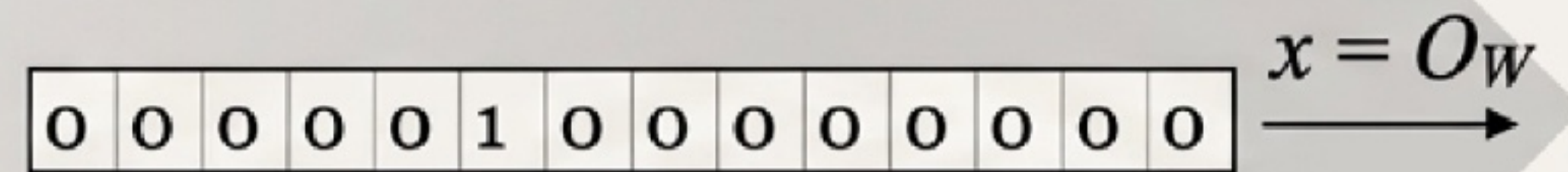
A good regulator is one that makes the world's output simple, predictable, and thus, highly compressible.

**A) Regulator OFF**



**High** Algorithmic Complexity.

**B) Regulator ON**



**Low** Algorithmic Complexity.





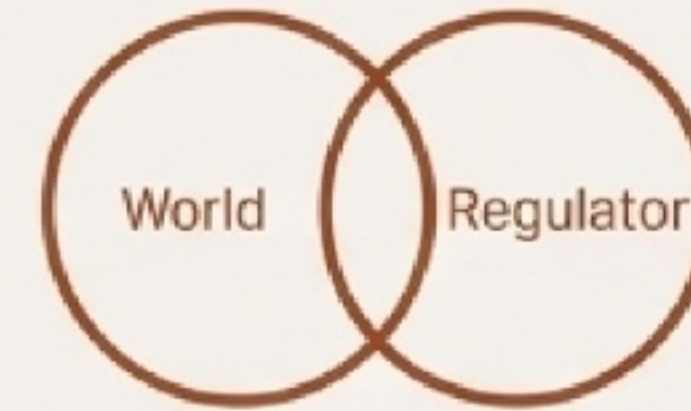
# The Language of Algorithmic Information



## Kolmogorov Complexity, $K(x)$

The length of the shortest program (or recipe) on a universal computer that can produce the string  $x$ .

**Intuition:** It's the ultimate measure of **compressibility**. A random-looking string has  $K(x) \approx |x|$ , while a simple string (like "000...0") has a very small  $K(x)$ .



## Mutual Algorithmic Information, $M(W:R)$

The amount of shared algorithmic structure.  $M(W:R) = K(W) - K(W|R)$ .

**Intuition:** The number of bits saved when describing the World  $W$  if you are already given the Regulator  $R$ . This is our new, rigorous definition of a "model." A regulator "models" the world if  $M(W:R) > 0$ .





# Contrastive Testing

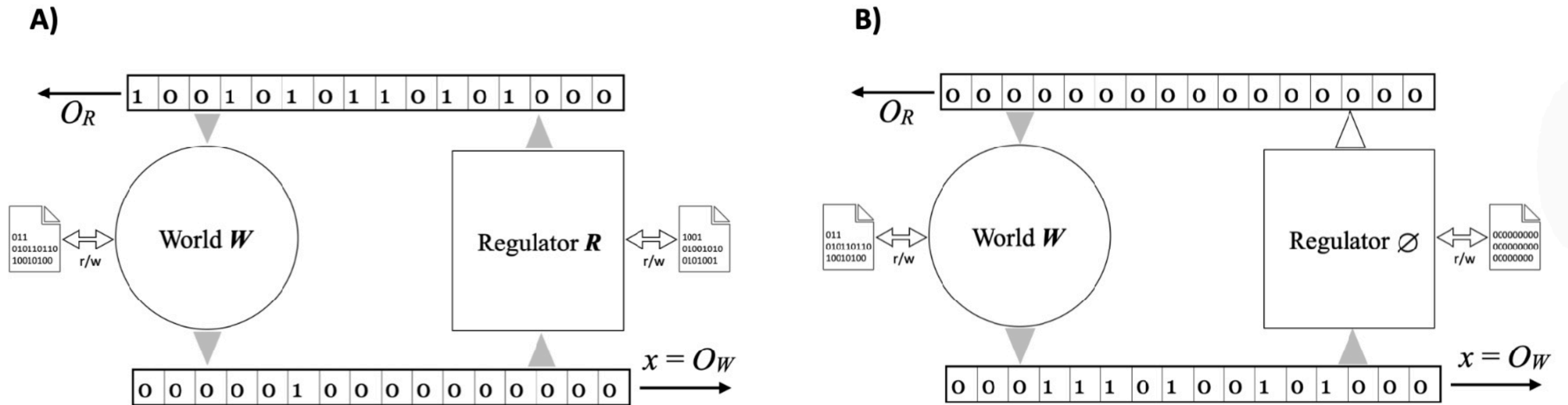


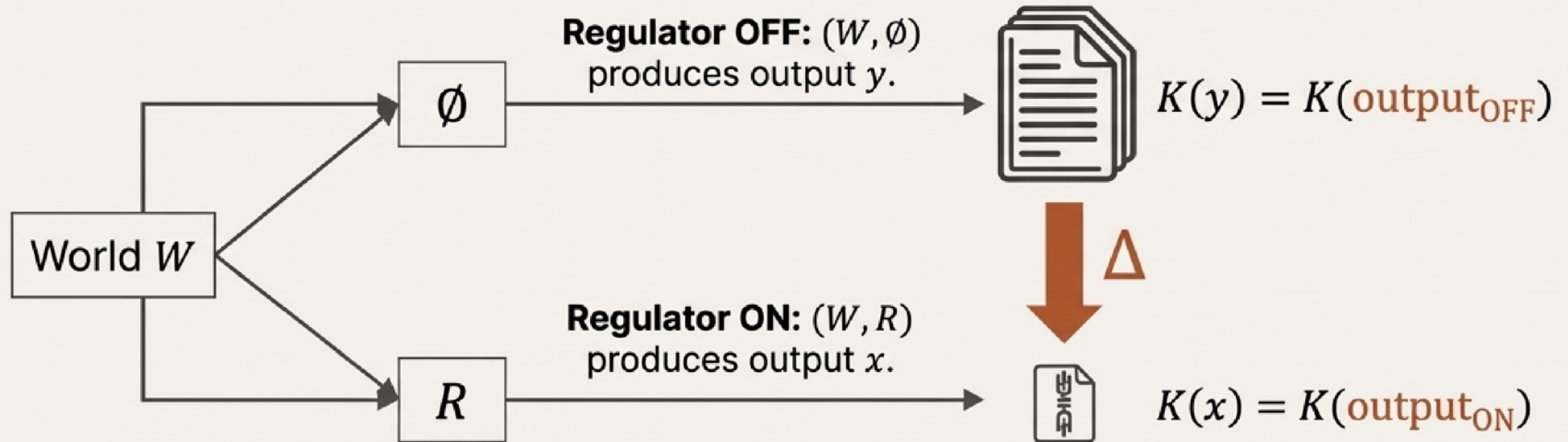
Figure 1: Regulation scenario. A) A good regulator  $R$  interacts with the world  $W$  so that the readout  $x = O_W$  of the world's output is clamped to a simple, highly compressible sequence (e.g., almost all zeros). B) When the regulator is turned off, the output is more complex.





# A Simple Test to Measure Success

We measure the regulator's effect by comparing two scenarios for a given World  $W$ .



## The Compressibility Gap $\Delta$

$$\Delta = K(\text{output}_{\text{OFF}}) - K(\text{output}_{\text{ON}})$$

$\Delta$  is the number of bits of compression achieved by the regulator. A "good" algorithmic regulator is simply one where  $\Delta > 0$ . The larger the  $\Delta$ , the better the regulator.





# The Algorithmic Regulator Theorem

*Given that we observe a successful regulation (a large  $\Delta$ ), what can we infer about the relationship between the World  $W$  and the Regulator  $R$ ?*

$$P((W, R)|x) \leq C \cdot 2^{M(W:R)} \cdot 2^{-\Delta}$$

## The Currency of a Model

The only way to overcome this exponential unlikelihood is for the World and Regulator to share information ( $M(W:R)$ ). This term pays for the "cost of success."

## The Cost of Success

For every bit of compression you achieve ( $\Delta$ ), your explanation of that success becomes exponentially less likely by default. Seeing a simple output is surprising and requires a good explanation.

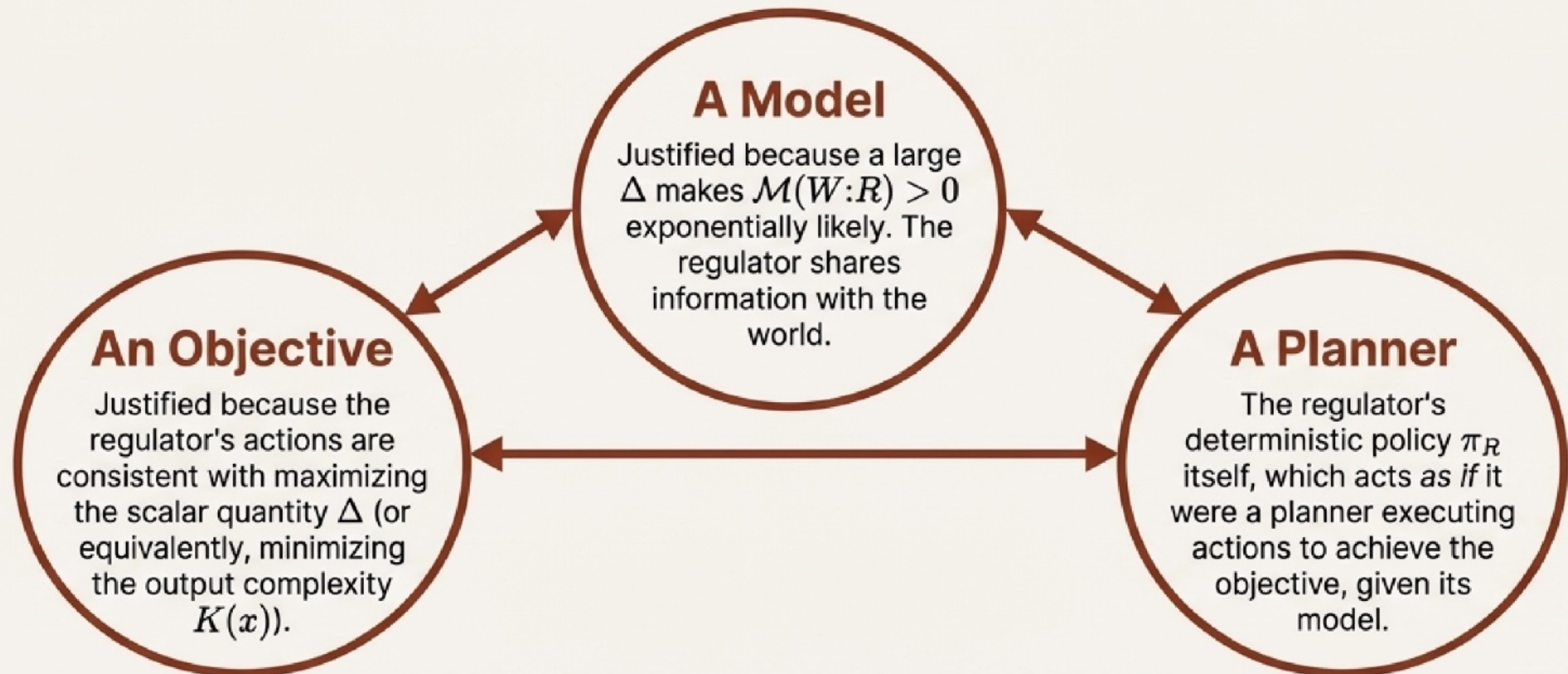
**Sustained, successful regulation (a large  $\Delta > 0$ ) makes it exponentially unlikely that the regulator *doesn't* contain a model of the world.**





# From Evidence to Agency

The theorem provides grounds to infer an agent-like structure purely from observing a system's ability to compress its output. We can say the regulator behaves **as if** it were an agent with these components:



An 'As-If' Agent, Justified by Data.





# A Complement, Not a Replacement

Aspect	GRT (Conant–Ashby)	IMP (Francis–Wonham)	A-GRT (This work)
Setting / Objects	Shannon entropy	LTI plants	deterministic prefix programs.
Definition of “model”	homomorphism	dynamical copy of exosystem	$M(W : \mathbb{R}) > 0$
Notion of “goodness”	minimize $H(Z)$	perfect asymptotic tracking	compressibility gap $\Delta > 0$
Core Theorem Statement	Every good regulator must contain a model of the system.	The internal model principle holds that regulation is possible if the regulator incorporates a model of the exosystem.	A regulator with a large $\Delta$ is exponentially unlikely to not contain a model of the world.
Scope / Use	Conceptual cybernetics link	Design backbone for robust regulation	distribution-free, single-episode diagnostics and a universal Occam calculus.
Information Source	Ensemble statistics	System dynamics	Observed data trace $x$
Mathematical Framework	Probability theory	Control theory	Algorithmic Information Theory





# From Theory to a Testable Claim

## The Challenge

Kolmogorov Complexity  $K(x)$  is a theoretical limit and is not computable.

## The Practical Solution

We can use real-world, off-the-shelf compressors to get a practical upper bound on  $K(x)$ . We simply replace  $K(x)$  with  $LC(x)$ , the compressed length of  $x$  using a fixed compressor  $C$ .

## The Experimental Recipe

1. Fix a lossless compressor  $C$ .
2. Quantize the system's readout if necessary.
3. Compute two code lengths:  $LC(output\_ON)$  and  $LC(output\_OFF)$ .
4. The difference,  $\hat{\Delta} = LC(output\_OFF) - LC(output\_ON)$ , is your evidence. Persistent  $\hat{\Delta} > 0$  is cumulative evidence of model content.

## Examples of Practical Compressors

### Classic

Lempel-Ziv family (gzip, lz4)

### Algorithmic

Block Decomposition Method (BDM)

### Modern

Learned compressors using Neural Networks (VAEs, Transformers)

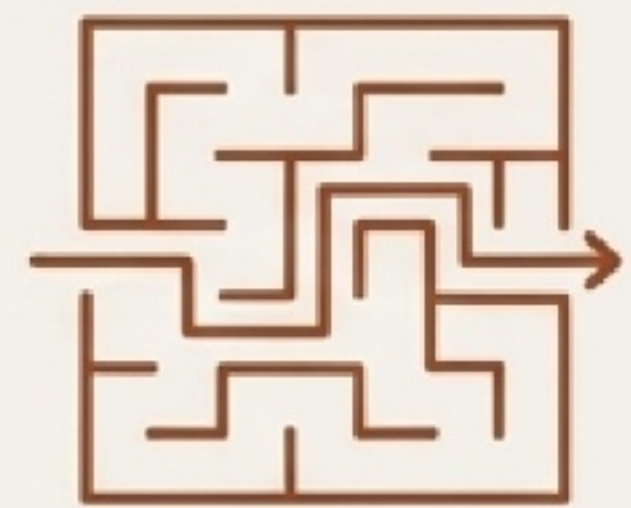




# A Universal Tool for Probing Agency

- **Distribution-Free**: It makes no assumptions about the underlying probability distributions of the world or signals. It works on single, individual sequences.
- **Architecture-Agnostic**: It does not assume linearity, an  $E/P$  split, or a particular causal structure. It only requires a computable  $(W, \mathbb{R}) \rightarrow x$  mapping.
- **Universal**: It provides a principled, quantitative method to test for modeling and agency in *any* system where you can perform an ON/OFF experiment.

## Domains of Application





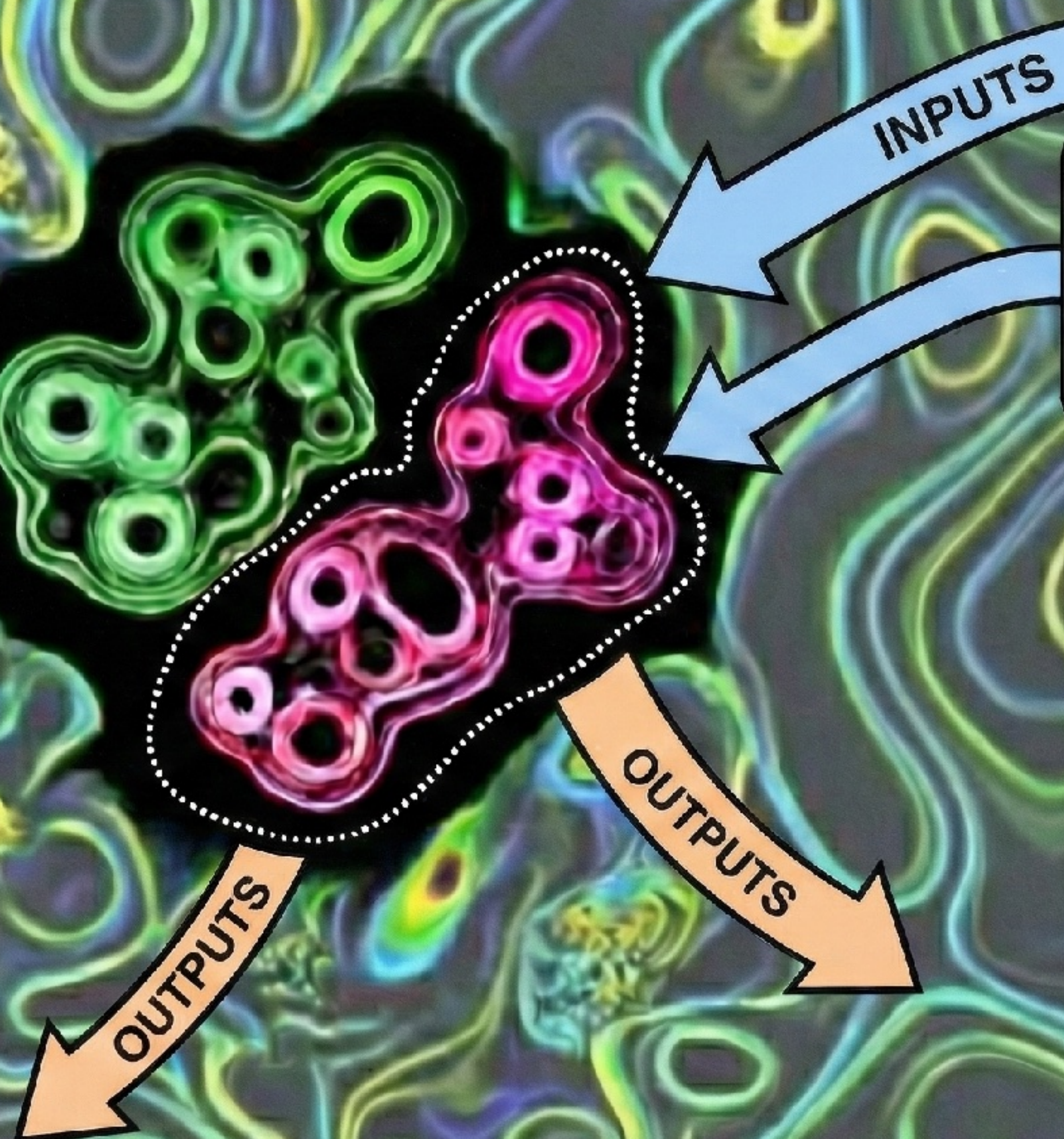


# **Simplicity is the signature of a model at work.**

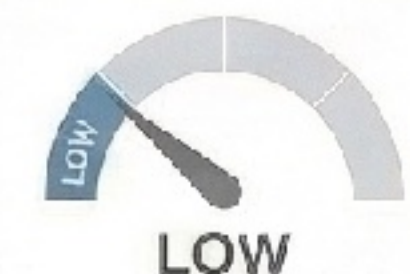
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The more a system simplifies its world,  
the more of that world it must contain.





**$K(x)$**  = Kolmogorov  
Complexity of Inputs



Metric of Agency: Low  **$K(x)$**  implies agent's  
internal model predicts environment.

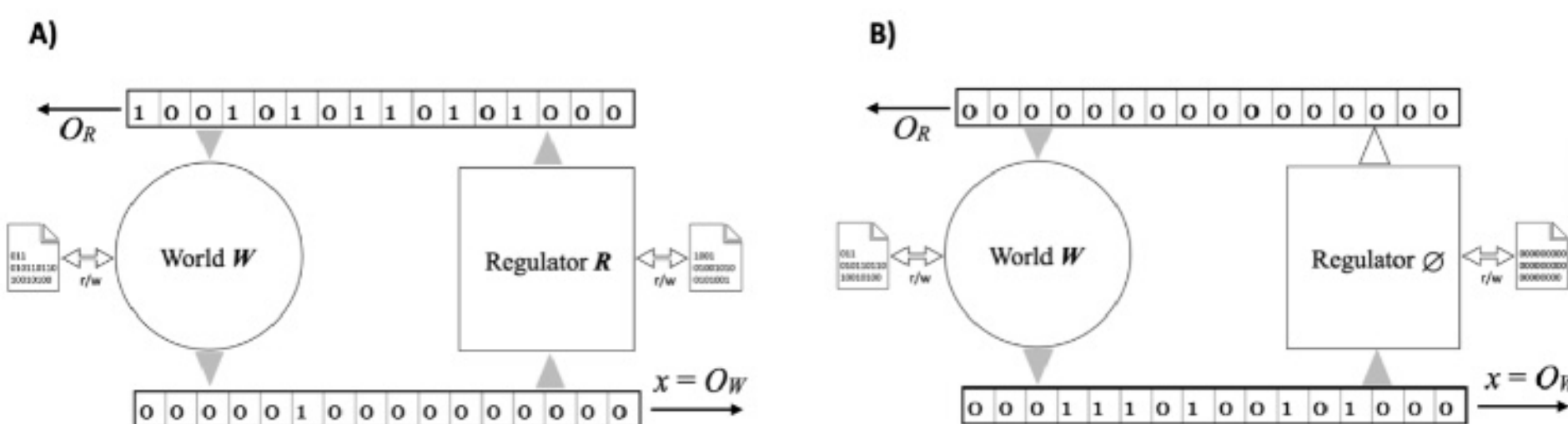
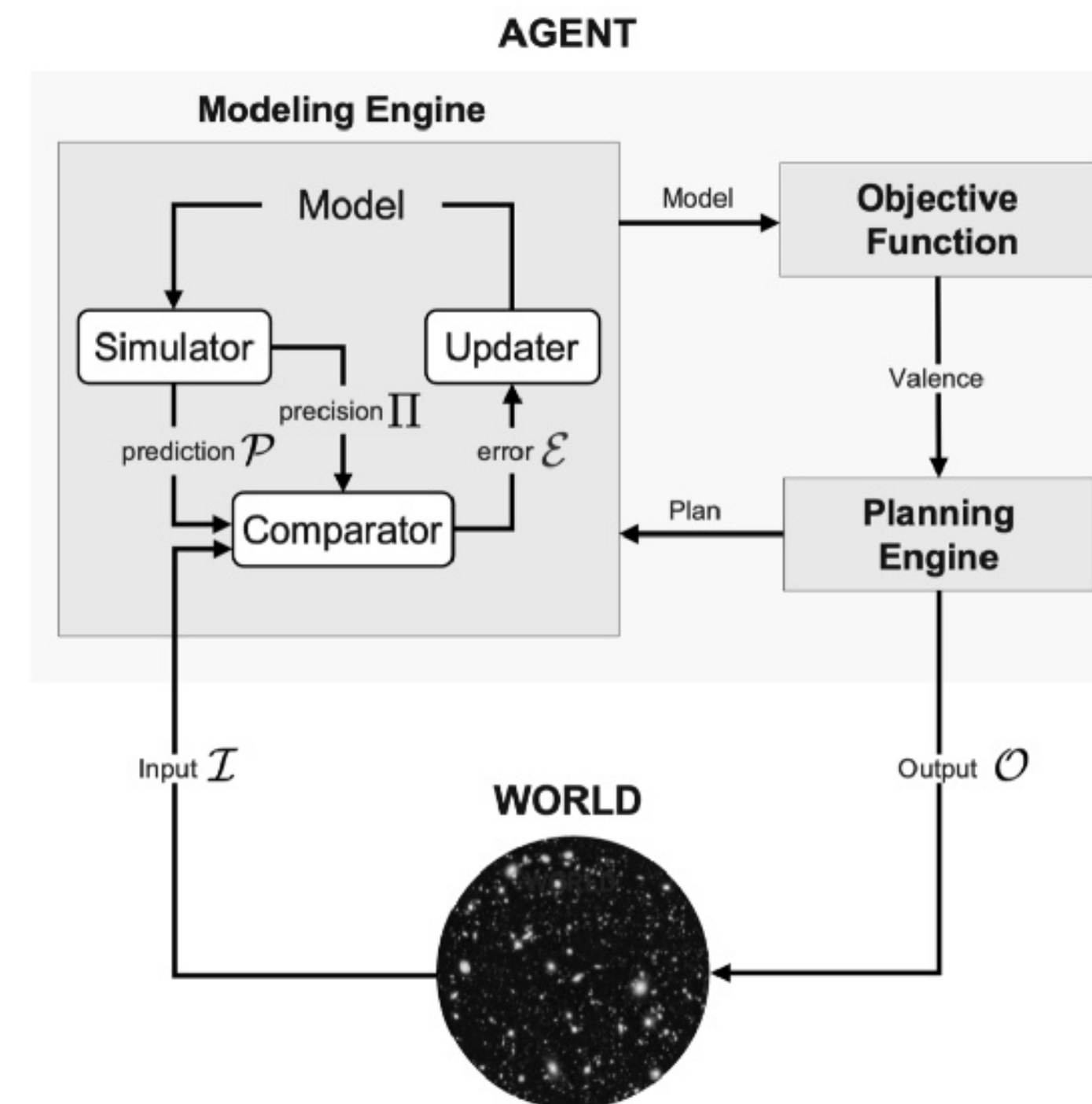
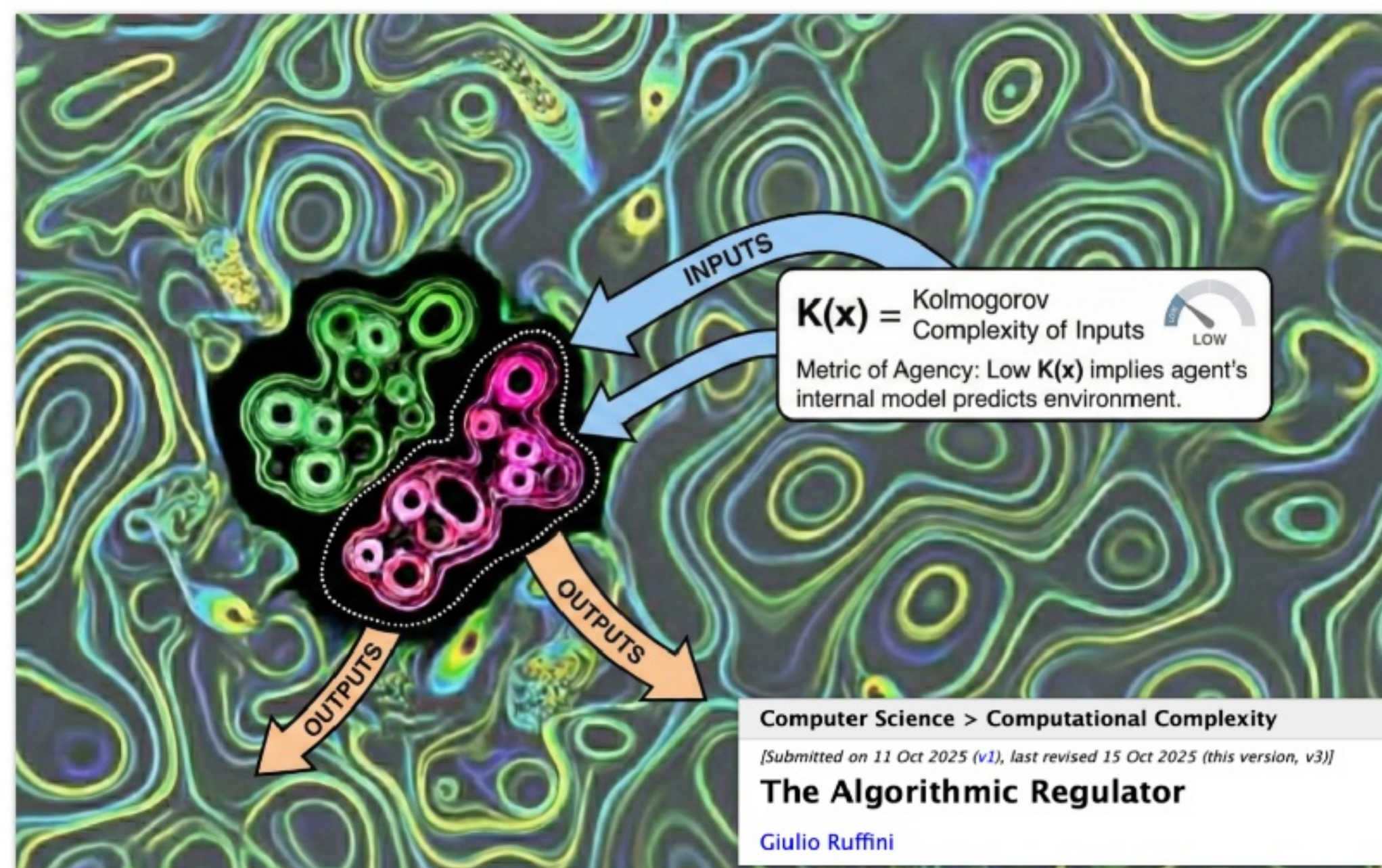
**BCOM WP0021**

Algorithmic Signatures of Agentiality in Artificial Life:  
A Test of the Algorithmic Regulator in Lenia and Flow-Lenia  
(draft)





# Life and the Algorithmic Regulator (Ruffini 2025, arXiv)



**Theorem 3.2** (Probabilistic regulator theorem). Let  $O_{W,R}^{(N)}$  and  $E_b^R$  be observed and let  $\Delta := K(O_{W,\emptyset}^{(N)}) - K(O_{W,R}^{(N)})$ . Then there exists  $C > 0$  such that

$$P((W, R) \mid O_{W,R}^{(N)}, E_b^R) \leq C \cdot 2^{M(W:R)} 2^{-\Delta}.$$

Equivalently, every bit by which  $M(W:R)$  falls short of  $\Delta$  costs a factor  $\approx 2^{-1}$  in posterior support.

**Every good regulator of a system must be a model of that system †**

ROGER C. CONANT & W. ROSS ASHBY

Pages 89-97 | Received 03 Jun 1970, Published online: 08 Mar 2007

Computer Science > Artificial Intelligence

[Submitted on 2 Jun 2025 (v1), last revised 20 Oct 2025 (this version, v5)]

**General agents contain world models**

Jonathan Richens, David Abel, Alexis Bellot, Tom Everitt

Computer Science > Computational Complexity

[Submitted on 11 Oct 2025 (v1), last revised 15 Oct 2025 (this version, v3)]

**The Algorithmic Regulator**

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